

Complaints received by DT including default cases
SEBI circular SEBI/ HO/ MIRS/ CRADT/ CIR/ P/ 2020/230 dated November 12, 2020

No. of pending Complaints at the end of last half year	No. of complaints received during the half year	No. of complaints resolved during the half year (within 30 days)	No. of complaints pending at the end of half year (for more than 30 days)	Nature of the Complaint(s)			Steps taken to resolve the pending complaints	Remarks, if any.	
				Delay in payment of interest	Delay in payment of redemption	Any other			
1	172	64	24	171	149	0	Hindustan Construction Company Limited [Rs.120 crs]	We have followed up with the Issuer for the status of the payments. Additionally, we have updated the grievance status to the respective Credit Rating Agency, Stock Exchange, SEBI and Depositories.	Issuer has informed that RBI Scheme for Sustainable Structuring of Stressed Assets (S4A Scheme) was implemented and the Company has submitted that they are making payments to the Lenders as per the scheme, and that the quarterly principal instalments due on 15 July 2020, 15 October 2020 and 15 January 2021 and the monthly interest due from 31st May 2020 to 31st March 2021 are payable as on date. The debenture holder is yet to confirm receipt of payment.
							SREI Equipment Finance Limited. [Various]	We have followed up with the Issuer for the status of the payments. Additionally, we have updated the grievance status to the respective Credit Rating Agency, Stock Exchange, SEBI and Depositories.	The Issuer has filed an application proposing a scheme of arrangement with its creditors ("Scheme") before NCLT, Kolkata bench ("NCLT"). NCLT has passed an order dated 30.12.2020 ("NCLT Order"), wherein it has inter-alia directed convening of the meetings of SEFL's creditors for considering, and if thought fit, approving, with or without modification, the said Scheme ("Meeting"). Further, the NCLT Order vide paragraph 20.34, requires us to maintain status quo, until further orders, on the contractual terms and we are estopped i.e. we are refrained from any form of reporting and taking any coercive action which would prejudicially affect SEFL and/or sanction and/or implementation of the Scheme. An appeal was filed before the NCLAT by ATSL jointly with Catalyst Trusteeship Limited and IDBI Trusteeship Services Limited challenging the NCLT Order. The matter was last listed for hearing on 10.05.2021 however could not be heard due to the summer vacations of NCLAT. The next date of hearing is 07 June 2021. Even though the matter is sub-judice, a notice (pursuant to the NCLT Order) was issued by SEFL to ATSL for convening the Meeting. Pursuant to the above, ATSL (representing the Secured Debenture Holders other than Individual) participated in the Meeting through online means and exercised its vote on the Scheme [as per the responses received from the Secured Debenture Holders (other than Individual) through online voting facility]. The next meeting of Unsecured Debenture Holders (other than Individual) is scheduled on 29 May 2021 (in line with the NCLT Order) and ATSL is in process of seeking votes from such Debenture Holders. Further, as per the NCLT Order the meeting of Individual Debenture Holders (whose names are appearing in Schedule VI of the Scheme) is to be held on 24 July 2021.
							Yes Bank Limited [Rs.3000 crs]	We have followed up with the company for the status of the payments. Additionally, we have updated the grievance status to the respective Credit Rating Agency, Stock Exchange, and SEBI.	The Issuer has informed that the AT-1 Bonds were written down vide order of the Administrator dated March 14, 2020. ATSL has filed a writ petition challenging the Write-off of AT-1 Bonds and the matter is sub-judice before the Hon'ble High Court of Bombay.